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Frank C. Eisenschenk, Ph.D., Patent Attorney

INFORMATION DISCLOSURE STATEMENT Examining Group 1637 Patent Application Docket No. INN-113TD2 Serial No. 10/633,771

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner

Bradley L. Sisson

Art Unit

1637

Applicants

Eric Vivier, Frederic Vely

Serial No.

10/633,771

Filed

August 4, 2003

Conf. No.

6493

For

Means of Documenting Repertoires of NKR Immunoreceptors and/or of

Activatory of Non-Inhibitory Immunoreceptor Counterparts of NKR

Immunoreceptors

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<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §§1.97 AND 1.98</u>

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of each cited reference is enclosed.

It is respectfully requested that the references cited on the attached form PTO/SB/08 be considered in the examination of the subject application and that their consideration be made of record.

Applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

Frank C. Eisenschenk, Ph.D.

Patent Attorney

Registration No. 45,332

Phone No.: 352-375-8100 Fax No.: 352-372-5800

Address: P.O. Box 142950

Gainesville, FL 32614-2950

FCE/sl

Attachments: Form PTO/SB/08; copies of references cited therein.



PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/633,771 INFORMATION DISCLOSURE Filing Date August 4, 2003 STATEMENT BY APPLICANT First Named Inventor Eric Vivier (use as many sheets as necessary) Art Unit 1637 **Examiner Name** Bradley L. Sisson Sheet of 1 8 Attorney Docket Number INN-113TD2

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	U1	US-5,976,819	11-02-1999	Finkel et al.	ALL		
	U2	US-6,307,021	10-23-2001	Bandman et al.	ALL		
	U3	US-2005-0191695 A1	09-01-2005	Vivier et al.	ALL		
	U4	US-					
	U5	US-					
	U6	US-					
	U7	US-					
	U8	US-					
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т6			
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

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Application Number	10/633,771			
Filing Date	August 4, 2003			
First Named Inventor	Eric Vivier			
Group Art Unit	1637			
Examiner Name	Bradley L. Sisson			
Attorney Docket Number	INN-113TD2			

_		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R1	ADAMKIEWICZ, T.V. et al. Natural killed lectin-like receptors have divergent carboxy-termini, distinct from C-type lectins. <i>Immunogenetics</i> 39:218 (1994).	
	R2	ALBI, N. et al. Natural killer (NK)-cell function and antileukemic activity of a large population of CD3+/CD8+ T cells expressing NK receptors for major histocompatibility complex class I after "Three-Loci" HLA-incompatible bone marrow transplantation. Blood 87:3993-4000 (1998).	
	R3	BAKER, E.A., D'Andrea ,J.H., Phillips,G.R. and Lanier, L.L. Natural killer cell receptor for HLA-B allotypes, NKB1: Map position 19q13.4. <i>Chromosome Res.</i> 3, 511 (1995).	
	R4	BECKMAN COULTER FLOW CYTOMETRY CLONE DETAIL: 276 for GL183. World Wide Web Beckman.com/products/instrument/flowcytometry/ecatalog/clonedetail.asp?cloneid=276	
	R5	BIASSONI, R., Pessino, A., Malaspina, A., Cantoni, C., Bottino, C., Sivori, S., Moretta, L. and Moretta, A. Role of amino acid position 70 in the binding affinity of p50.1 and p58.1 receptors for HLA-Cw4 molecules. <i>Eur. J. Immunol.</i> 27 (12), 3095-3099 (1997).	
	R6	BIASSONI, R., Cantoni, C., Falco, M., Verdiani, S., Bottino, C., Vitale, M., Conte, R., Poggi, A., Moretta, A. and Moretta, L. The human leukocyte antigen (HLA)-C-specific 'activatory' or 'inhibitory' natural killer cell receptors display highly homologous extracellular domains but differ in their transmembrane and intracytoplasmic portions. <i>J. Exp. Med.</i> 183 (2), 645-650 (1996).	
	R7	BOTTINO, C. et al. A novel surface molecule homologous to the p58/p50 family of receptors is selectively expressed on a subset of human natural killer cells and induces both triggering of cell function and proliferation. Eur. J. Immunol. 26:1816-1824 (1996).	
	R8	CAMBIAGGI, A. et al. Natural killer cell acceptance of H-2 mismatch bone marrow grafts in transgenic mice expressing HLA-Cw3 specific killer cell inhibitory receptor (CD158b). Proc. Natl. Acad. Sci. 94:8088-8092 (1997).	
	R9	CANTONI, C. et al. Molecular structures of HLA-specific human NK cell receptors. Chem. Immunol. 64:88-1003 (1996).	

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Subo	Substitute for form 1449B/PTO			Complete if Known			
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		_		Filing Date	August 4, 2003		
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	(use as many shee	ts as necess	eany)	Group Art Unit	1637		
	(use as many shoots as necessary)			Examiner Name	Bradley L. Sisson		
Sheet	3	of	8	Attorney Docket Number	INN-113TD2		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R10	COLONNA, M. and Samaridis, J. Cloning of immunoglobulin-superfamily members associated with HLA-C and HLA-B recognition by human natural killer cells. <i>Science</i> 268 (5209), 405-408 (1995).	
	R11	D'ANDREA, A., Chang, C., Franz-Bacon, K., McClanahan, T., Phillips, J.H. and Lanier, L.L. Molecular cloning of NKB1. A natural killer cell receptor for HLA-B allotypes. <i>J. Immunol.</i> 155 (5), 2306-2310 (1995).	
	R12	DOHRING, C., Samaridis, J. and Colonna, M. Alternatively spliced forms of human killer inhibitory receptors. <i>Immunogenetics</i> 44 (3), 227-230 (1996).	
	R13	HEUNER, K., Hacker, J. and Brand, B.C. The alternative sigma factor sigma28 of Legionella neumophila restores flagellation and motility to an Escherichia coli fliA mutant. J. Bacteriol. 179 (1), 17-23 (1997).	
	R14	HIBY, S.E. <i>et al.</i> Human uterine Nk cells have a similar repertoire of killer inhibitory and activatory receptors to those found in blood, as demonstrated by RT-PCR and sequencing. <i>Molecular Immunity</i> 34(5):419-430 (1997).	
	R15	HOUCHINS, J.P., Yabe, T., McSherry, C. and Bach, F.H. DNA sequence analysis of NKG2, a family of related cDNA clones encoding type II integral membrane proteins on human natural killer cells. <i>J. Exp. Med.</i> 173 (4), 1017-1020 (1991).	
	R16	LONG, E.O. et al. Killer cell inhibitory receptors: diversity, specificity, and function. <i>Immunol Rev.</i> 155:135-144 (1997).	
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	R18	MINGARI, M.C. et al. Interleukin-15-induced maturation of human natural killer cells fromearly thymic precursors: selective expression of CD94/NKG2-A as the only HLA class I-specific inhibitory receptor. Eur. J. Immunol. 27:1374-1380 (1997).	

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STATEMENT BY APPLICANT				First Named Inventor	Eric Vivier	
	(Group Art Unit	1637	
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Sheet	4	of	8	Attorney Docket Number	INN-113TD2	

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	R19	PENDE, D., Biassoni, R., Cantoni, C., Verdiani, S., Falco, M., di Donato, C., Accame, L., Bottino, C., Moretta, A. and Moretta, L. The natural killer cell receptor specific for HLA-A allotypes: a novel member of the p58/p70 family of inhibitory receptors that is characterized by three immunoglobulin-like domains and is expressed as a 140-kD disulphide-linked dimmer. <i>J. Exp. Med.</i> 184 (2), 505-518 (1996).	
	R20	RENARD V. et al. Transduction of cytotoxic signals in natural killer cells: a general model of fine tuning between activatory and inhibitory pathways in lymphocytes. <i>Immunol Rev.</i> 155:205-221 (1997).	
	R21	SALTER, R.D. et al. Domain organization and sequence relationship of killer cell inhibitory receptors. <i>Immunol. Rev.</i> 155:175-182 (1997).	
	R22	SELVAKUMAR, A., Steffens, U. and Dupont, B. Polymorphism and domain variability of human killer cell inhibitory receptors. <i>Immunol. Rev.</i> 155, 183-196 (1997).	
	R23	SELVAKUMAR, A., Steffens, U. and Dupont, B. NK cell receptor gene of the KIR family with two IG domains but highest homology to KIR receptors with three IG domains. <i>Tissue Antigens</i> 48 (4 Pt), 285-294 (1996.)	
	R24	VELY, F. et al. Regulation of inhibitory and activating killer-cell Ig-like receptor expression occurs in T cells after termination of TCR rearrangements. J. Immunol. 166:2487-2494 (2001).	
	R25	WAGTMANN, N., Biassoni, R., Cantoni, C., Verdiani, S., Malnati, M.S., Vitale, M., Bottino, C., Moretta, L., Moretta, A. and Long, E.O. Molecular clones of the p58 NK cell receptor reveal immunoglobulin-related molecules with diversity in both the extra- and intracellular domains. <i>Immunity</i> 2 (5), 439-449 (1995).	
	R26	WAGTMANN, N., Rajagopalan, S., Winter, C.C., Peruzzi, M. and Long, E.O. Killer cell inhibitory receptors specific for HLA-C and HLA-B identified by direct binding and by functional transfer. <i>Immunity</i> 3 (6), 801-809 (1995).	

Examiner	Date]
Signature	Considered	

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	R27_	YABE, T. et al. A multigene family on human chromosome 12 encodes natural killer-cell lectins. Immunogenetics 37:455-460 (1993).					
	R28	NCBI DATABASE Genbank Accession No. U24076, March 23, 2005.					
	R29	NCBI DATABASE Genbank Accession No. L41267, March 23, 2005.					
	R30	NCBI DATABASE Genbank Accession No. U24078, March 23, 2005.					
	R31	NCBI DATABASE Genbank Accession No. X98858, March 23, 2005.					
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	R36	NCBI DATABASE Genbank Accession No. L76669, March 23, 2005.					
	R37	NCBI DATABASE Genbank Accession No. LL76663, March 23, 2005.					
	R38	NCBI DATABASE Genbank Accession No. L7U24074, March 23, 2005.					

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	R39	NCBI DATABASE Genbank Accession No. L41268, March 23, 2005.					
	R40	NCBI DATABASE Genbank Accession No. U73395, March 23, 2005.					
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	R52	NCBI DATABASE Genbank Accession No. U33328, March 23, 2005.						
	R53	NCBI DATABASE Genbank Accession No. U71199, March 23, 2005.						
	R54	NCBI DATABASE Genbank Accession No. U73394, March 23, 2005.						
	R55	NCBI DATABASE Genbank Accession No. X94373, March 23, 2005.						
	R56	NCBI DATABASE Genbank Accession No. U30274, March 23, 2005.						
	R57	NCBI DATABASE Genbank Accession No. U30273, March 23, 2005.						
	R58	NCBI DATABASE Genbank Accession No. L76661, March 23, 2005.						
	R59	NCBI DATABASE Genbank Accession No. U73396, March 23, 2005.						
	R60	NCBI DATABASE Genbank Accession No. L41270, March 23, 2005.						
	R61	NCBI DATABASE Genbank Accession No. X94374, March 23, 2005.						
	R62	NCBI DATABASE Genbank Accession No. X93595, March 23, 2005.						
	R63	NCBI DATABASE Genbank Accession No. X93596, March 23, 2005.						
	R64	NCBI DATABASE Genbank Accession No. L76666, March 23, 2005.						

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		NON PATENT LITERATURE DOCUMENTS						
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